

Docket No.: C1104.70016US00  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kevin J. Dowling et al.  
Serial No.: 09/805590  
Confirmation No.: 1160  
Filed: March 13, 2001  
Patent No.: 7064498  
For: LIGHT-EMITTING DIODE BASED PRODUCTS  
  
Examiner: A, Minh D  
Art Unit: 2821

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.322**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted typographical errors which should be corrected. The errors noted herein respectfully are believed to be due to the USPTO and not the Applicants; accordingly, no fee is required.

Inventors

A Request to Correct Inventorship Under 37 C.F.R. 1.48(a) was filed on March 31, 2005 to add inventor Timothy Holmes ( a copy of the Request, approved by the Examiner, is attached). Accordingly, inventor Timothy Holmes should be listed on the Patent.

Related U.S. Application Data

The priority data includes typographical errors. The priority should be listed as follows:

Continuation-in-part of application No. 09/742,017, filed on Dec. 20, 2000, now abandoned, which is a continuation of application No. 09/213,548, filed on Dec. 17, 1998, now Pat No.

6,166,496, and a continuation-in-part of application No. 09/669,121, filed on Sep. 25, 2000, which is a continuation of application No. 09/425,770, filed on Oct. 22, 1999, now Pat. No. 6,150,774, which is a continuation of application No. 08/920,156, filed on Aug. 26, 1997, now Pat. No. 6,016,038, and a continuation-in-part of application No. 09/626,905, filed on Jul. 27, 2000, and a continuation-in-part of application No. 09/344,699, filed on Jun. 25, 1999, and a continuation-in-part of application No. 09/333,739, filed on June 15, 1999, and a continuation-in-part of application No. 09/215,624, filed on Dec. 17, 1998, now Pat. No. 6,528,954, ~~which is~~ and a continuation-in-part of application No. 0/213,607, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,189, filed on Dec. 17, 1998, now Pat. No. 6,459,919, and a continuation-in-part of application No. 09/213,581, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,540, filed on Dec. 17, 1998, now Pat. No. 6,720,745 ~~which is a continuation of application No. 09/213,548, filed on Dec. 17, 1998, now Pat. No. 6,166,496, which~~ . This application is a continuation-in-part of application No. 09/213,537, filed on Dec. 17, 1998, now Pat. No. 6,292,901, and a continuation-in-part of application No. 09/213,659, filed on Dec. 17, 1998, now Pat. No. 6,211,626, ~~which is a continuation of application No. 08/920,156, filed on Aug. 26, 1997, now Pat. No. 6,016,038.~~

#### References Cited

There are several references that were cited by the Applicants that are not listed on the Patent. Copies of the submitted Information Disclosure Statements and PTO-1449s that were received and noted as considered by the Examiner (i.e., initialed by the Examiner) are enclosed. These cited references should also be listed on the Patent.

#### In the Claims

Pursuant to Amendments dated May 31, 2005 and April 4, 2005, claim 1 should appear as follows (underlined portions below are missing from the issued patent):

1. A device comprising:

a plurality of LEDs configured to produce light that includes at least two different spectra;

a material configured to receive the light emitted from the plurality of LEDs, and to display a color that is a combination of the at least two different spectra;

a memory to store at least one lighting program;

a processor configured to generate at least one control signal to control power delivered to one or more of the plurality of LEDs in response to execution of the at least one lighting program, the processor further configured to change the at least one control signal over time so as to produce from the device at least one dynamic lighting effect determined substantially by the at least one lighting program; and

a user interface adapted to receive a user input to control operation of the processor so as to facilitate a change in the color that is the combination of the at least two different spectra.

**CONCLUSION**

Transmitted herewith is a proposed Certificate of Correction to address the issues noted above. Patentee respectfully solicits the granting of the requested Certificate of Correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 23/2825, under Attorney Docket No. C1104.70016US00 from which the undersigned is authorized to draw.

Dated: October 31, 2006

Respectfully submitted,

By / Joseph Teja, Jr. /

Joseph Teja, Jr.

Registration No.: 45,157

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 6

PATENT NO. : 7064498  
APPLICATION NO. : 09/805590  
ISSUE DATE : June 20, 2006  
INVENTOR(S) : Kevin J. Dowling et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Inventors:**

Kevin J. Dowling, Westford, MA (US)  
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Ralph Osterhout, San Francisco, CA (US)  
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George G. Mueller, Boston, MA (US)  
Dawn Geary, Southborough, MA (US)  
Timothy Holmes, Jacksonville, FL (US)

**Related US Application Data:**

Continuation-in-part of application No. 09/742,017, filed on Dec. 20, 2000, now abandoned, which is a continuation of application No. 09/213,548, filed on Dec. 17, 1998, now Pat. No. 6,166,496, and a continuation-in-part of application No. 09/669,121, filed on Sep. 25, 2000, which is a continuation of application No. 09/425,770, filed on Oct. 22, 1999, now Pat. No. 6,150,774, which is a continuation of application No. 08/920,156, filed on Aug. 26, 1997, now Pat. No. 6,016,038, and a continuation-in-part of application No. 09/626,905, filed on Jul. 27, 2000, and a continuation-in-part of application No. 09/344,699, filed on Jun. 25, 1999, and a continuation-in-part of application No. 09/333,739, filed on June 15, 1999, and a continuation-in-part of application No. 09/215,624, filed on Dec. 17, 1998, now Pat. No. 6,528,954, and a continuation-in-part of application No. 0/213,607, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,189, filed on Dec. 17, 1998, now Pat. No. 6,459,919, and a continuation-in-part of application No. 09/213,581, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,540, filed on Dec. 17, 1998, now Pat. No. 6,720,745. This application is a continuation-in-part of application No. 09/213,537, filed on Dec. 17, 1998, now Pat. No. 6,292,901, and a continuation-in-part of application No. 09/213,659, filed on Dec. 17, 1998, now Pat. No. 6,211,626.

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**References Cited**

The following citations should be added to the Patent:

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Office Action Mailed November 24, 2005 in U.S. Application Serial No. 09/805,368.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Wayne Howell in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No.

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02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Jerry Laidman in Opposition of Color Kinetics, Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

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United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc."

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United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Infringement"

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Invalidity"

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July 1973.

David I. Kennedy, "Fabrication and Properties of Gallium Phosphide Variable Colour Displays," *Microelectronics*, vol. 5, no. 3, 1974, pp. 21-29.

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<http://www.artisticlicense.com/appnotes/appnote015.pdf>, pp. 1-17.

Optotek Limited, Technical Manual for Multicolor Interactive Switch Module AN-601 and Input Simulator AN-600, September 1986.

IN THE CLAIMS:

1. A device comprising:

a plurality of LEDs configured to produce light that includes at least two different spectra;

a material configured to receive the light emitted from the plurality of LEDs, and to display a color that is a combination of the at least two different spectra;

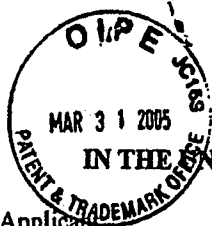
a memory to store at least one lighting program;

a processor configured to generate at least one control signal to control power delivered to one or more of the plurality of LEDs in response to execution of the at least one lighting program, the processor further configured to change the at least one control signal over time so as to produce from the device at least one dynamic lighting effect determined substantially by the at least one lighting program; and

a user interface adapted to receive a user input to control operation of the processor so as to facilitate a change in the color that is the combination of the at least two different spectra.

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please enter this request.

Thanks,  
MINH A.

MA/12/2/05

ATTORNEY DOCKET NO.: C01104/70016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, et al.  
Serial No: 09/805,590  
Confirmation. No.: 1160  
Filed: March 13, 2001  
For: LIGHT-EMITTING DIODE BASED PRODUCTS  
Examiner: A., Minh D.  
Art Unit: 2821

COPY

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 29 day of March, 2005.

*Jeane Chub*  
Jeane Chub

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

This is a request under 37 C.F.R. §1.48(a) to add inventor Timothy Holmes in the above-identified application. Pursuant to 37 C.F.R. §1.48(a), accompanying this request are: 1) a statement from Timothy Holmes that the error in inventorship occurred without deceptive intention on his part; 2) an oath or declaration signed by the actual inventors; and 3) the written consent of the assignee of the original named inventors and accompanying certification under 37 C.F.R. §3.73(b).

The processing fee of \$130, pursuant to 37 C.F.R. §1.17(i), is enclosed. Please charge any deficiencies to Deposit Account No. 50/2762.

Favorable consideration is requested.

Respectfully submitted,

Kevin Dowling et al., Applicants

By:

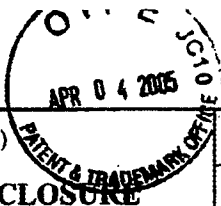
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01 FC:1464

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Docket No.: C01104/70016  
Date: March 29, 2005



COPY

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,590	ATTY. DOCKET NO.: C01104/70016
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: March 13, 2001	
		APPLICANT: Kevin J. Dowling	
		GROUP ART UNIT: 2821	EXAMINER A, Minh D
Sheet	1	of	2

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
MA		1,603,055		R.G. Williams	10/12/1926
MA		2,591,650		R.G. Williams	04/01/1952
MA		2,642,553		R.G. Williams	06/16/1953
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MA		2,657,338		R.G. Williams	10/27/1953
MA		2,686,866		R.G. Williams	08/17/1954
MA		2,673,923		R.G. Williams	03/30/1954
MA		3,037,110		R.G. Williams	05/29/1962
MA		4,470,044		Bell	09/04/1984
MA		5,519,496		Borgert et al.	05/21/1996
MA		5,577,832		Lodhie	11/26/1996
MA		6,023,255		Bell	02/08/2000
MA		6,787,999	B2	Stimac et al.	09/07/2004

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
MA		GB	238,327			08/20/1925	
MA		GB	238,997			09/03/1925	
MA		GB	271,212			05/26/1927	
MA		GB	296,884			09/13/1928	
MA		GB	296,885			09/13/1928	
MA		GB	325,218			02/12/1930	
MA		GB	368,113			03/03/1932	
MA		GB	376,744			07/11/1932	
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MA		GB	411,868			06/14/1934	
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MA		GB	438,884			11/25/1935	
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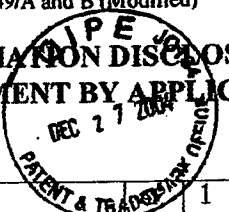
FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,590	ATTY. DOCKET NO.: C01104/70016
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: March 13, 2001	
		APPLICANT: Kevin J. Dowling	
		GROUP ART UNIT: 2821	EXAMINER: A, Minh D
Sheet 2 of 2			

MA	GB	661,083	11/14/1951	
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# OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Expert Witness Rebuttal Report of Dr. David I. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc."	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc."	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Infringement"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Invalidity"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on Super Vision's 'Badmouthing' Claims"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Inequitable Conduct"	
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EXAMINER		DATE CONSIDERED	
MAH Burt		8/25/03	

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,590	ATTY. DOCKET NO.: C01104/70016
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1160
		APPLICANT: Kevin J. Dowling et al.	
		GROUP ART UNIT: 2821	EXAMINER: A, Minh D.
Sheet	1	1	

### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
MA		JP	9139289			05-27-1997	Abstract

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Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)
Docket Number (Optional)  
CKB-016.01Application Number  
09/805,590Applicant  
Dowling et al.Filing Date  
March 13, 2001Group Art Unit  
2821

JUL 25 2005

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Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)Docket Number (Optional)  
CKB-016.01Application Number  
09/805,590Applicant  
Dowling et al.Filing Date  
March 13, 2001Group Art Unit  
2821

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	FILING DATE: March 13, 2001		CONFIRMATION NO.: 1160		
	APPLICANT: Kevin J. Dowling et al.				
	GROUP ART UNIT: 2821		EXAMINER: A, Minh D.		
Sheet	1	of	1		

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MAH DOWLING					1/20/05		

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		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1160
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		Office/Country	Number	Kind Code			
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A. Minh D.					1/20/05		

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 0<sup>4</sup>

ATTY. DOCKET NO.: C01104/70016

FILING DATE: March 13, 2001

APPLICANT: Kevin J. Dowling, et al.

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MA		GB	2 242 364 A		Colin Dowden	10/02/98	YES
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Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume- number(s), publisher, city and/or country where published.	Translation (Y/N)	
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relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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